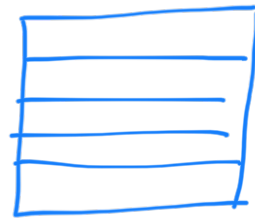


Online Caching.

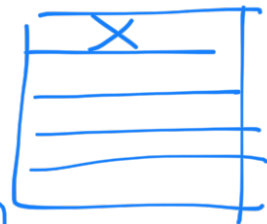
Input :

$\sigma_1 \sigma_2 \sigma_3 \dots$



K pages

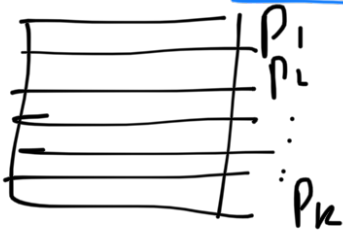
$\sigma_1 \sigma_2 \dots \sigma_n$
P



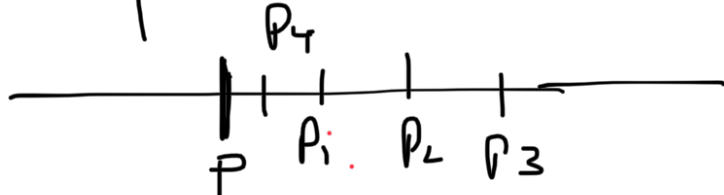
Measure how good an online alg. is?

$I = \sigma_1 \dots \sigma_t \sigma_n$ # evictions \rightarrow $\frac{Alg(I)}{Opt(I)}$

sup I



Competitive ratio

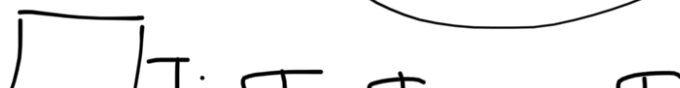


① $n = K + 1$ pages


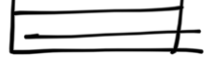
A

adversary

K, n
pages
 $n \gg K$



$\underbrace{1 \dots U_1 U_2 \dots U_T}_{K}$ σ_t : request for a page not in the cache

Alg(I) = T  ↓
 Opt(I) = $\frac{T}{k-1}$  $\frac{K}{K-1}$

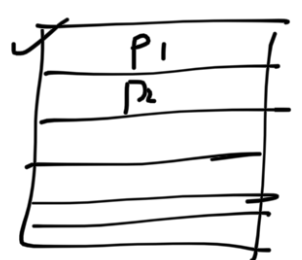
Competitive ratio $\geq \underline{k}$

Q: In general, can we achieve competitive ratio $\leq k$? Yes

Q: Does randomization help? $\leftarrow \ln k$

Alg: LRU 1-bit LRU K

marked/
unmarked

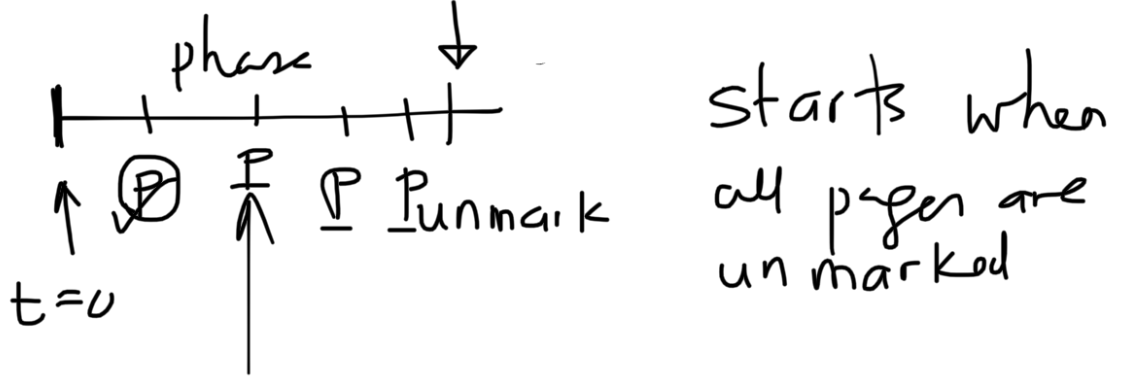


Initially all pages are unmarked.

At time t , p_t is requested

- (1) p_t is in the cache: mark p_t
- (2) p_t is not in the cache:

$i \neq t$
 - evict: randomly chosen an unmarked page
 - bring in P_t and mark it
 What if all pages are marked?
 UnMark all the pages



During a phase $\leq k$ cache misses happen.

cache misses $\leq k$. # phases ✓

During a phase: $k+1$ pages are accessed

Cost of any alg \geq # phases ✓

Alg

$$I = \sigma_1 \dots \sigma_n$$

$$\text{Expected C.R. on } I \rightarrow \frac{E_I[\text{Alg}]}{\text{opt}(I)}$$

Tau's lemma: Exp. C.R. $\geq \ln K$

Outline:



q_1, q_2, \dots, q_{n_i} state

total # pages accessed

phase t

S_i state request P

$$= K + n_i + \left[\frac{n_i}{K} + \frac{n_i}{K-1} + \dots \right] \text{new page req. } n_i$$

$$\leq n_i + n_i \ln K \approx n_i \ln K$$

Expected # cache misses

$$\sum_i (1 + n_i) \ln K$$

phase $i-1, i$: $\geq n_i$ cache misses
 $i+1, i+2$: $\geq n_{i+2}$

$$\geq n_1 + n_3 + n_5 + \dots$$

$$\geq n_2 + n_4 + n_6 + \dots$$

$$\frac{1}{2} [n_1 + n_2 + n_3 + \dots]$$

$$\text{Exp. C.R.} \leq O(\ln k)$$

Extensions:-

① Weighted paging: (P) $(\underline{W_P})$

Min. total weighted cache misses.

$$[BBN '07] : O(\ln k)$$

② Generalized paging:

$P: \underline{S_P}, \underline{W_P}$ ← cost of evicting P

$* B$ ← capacity of cache

total S_P of pages in cache $\leq B$.

$$[BBN '08] \rightarrow O(\log^2 k)$$

$$[ACER '18] \rightarrow O(\log k)$$

③ Elastic Caching : K, B

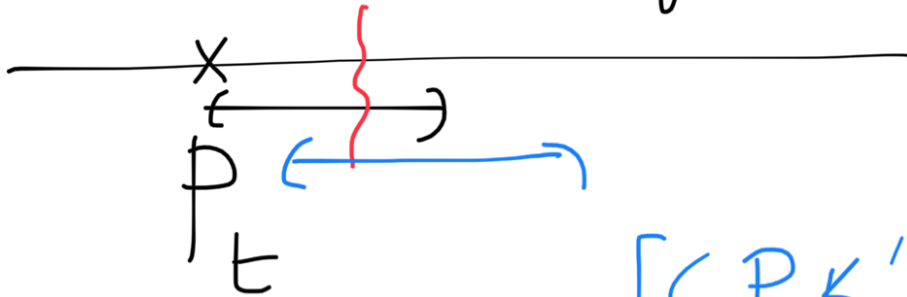
K_t ←

cache miss + $\sum_t c(K_t)$

$C(s) = 0$ if $s \leq K$
 ∞ if $s > K$

ln n - comp [GPK'20]
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④ Cache with delays :



[GPK'20]